

2/61



PATENT
Attorney Docket No. WMI-004CP2
(8415/19)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **RECEIVED**

JAN 15 2002

APPLICANT(S): Katcher, et al.
SERIAL NO.: 09/715,944 GROUP NO.: 2161 Technology Center 2600
FILING DATE: November 17, 2000 EXAMINER: Not Yet Assigned
TITLE: A METHOD AND APPARATUS FOR RECEIVING A
HYPERLINKED TELEVISION BROADCAST

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 13th day of December, 2001.


Irja Zarembok

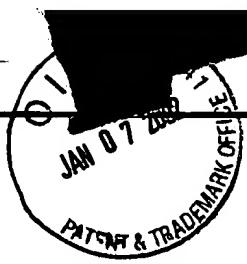
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are:

Transmittal Form (1 pg.);
Information Disclosure Statement (2 pg.);
Form PTO-1449 (8 pgs.);
Return Receipt Postcard

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Technology Center 2100



TRANSMITTAL FORM

Application Serial Number	09/715,944
Filing Date	November 17, 2000
First Named Inventor	KATCHER
Group Art Unit	2161
Examiner Name	Not Yet Assigned
Attorney Docket No.	WMI-004CP2
BATCH NO. (after allowance)	Not Applicable
Patent No.	Not Applicable
Issue Date	Not Applicable

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ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences |
| <input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> Formal Drawing(s) | <input type="checkbox"/> Appeal Brief (in triplicate) |
| <input type="checkbox"/> Petition for Extension of Time | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal | <input type="checkbox"/> Status Inquiry |
| <input checked="" type="checkbox"/> Information Disclosure Statement
<input checked="" type="checkbox"/> Form PTO-1449
<input type="checkbox"/> Copies of IDS | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) | <input checked="" type="checkbox"/> Return Receipt Postcard |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 |
| <input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application | <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 |
| | <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Additional Enclosure(s) (please identify below) |
| | <input type="checkbox"/> CD(s) for large table or computer program | |
| | <input type="checkbox"/> Amendment After Allowance | |
| | <input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | |

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CORRESPONDENCE ADDRESS

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Respectfully submitted,

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Date: December 13, 2001
Reg. No. 48,797
Tel. No.: (617) 248-7346
Fax No.: (617) 248-7100



PATENT
Attorney Docket No. WMI-004CP2
(8415/19)

Handwritten: #6, 1/16/02, 1/29/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified continuation patent application. Each of the patents and printed publications was submitted in co-pending predecessor application U.S. Serial No. 09/694,079, filed October 20, 2000. Accordingly, copies of the same are not enclosed in accordance with 37 C.F.R. 1.98(d).

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

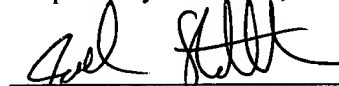
As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that **[check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]**:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: December 13, 2001
Reg. No. 48,797

Tel. No.: (617) 248-7346
Fax No.: (617) 248-7100

Respectfully submitted,



Joel Stettenheim
Attorney for Applicants
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125 High Street
Boston, Massachusetts 02110



FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: WMI-004CP2

APPLICANTS: Katcher, et al.

SERIAL NO.: 09/715,944

FILING DATE: November 17, 2000

GROUP: 2161

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U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	4,016,361	04/05/77	Pandey	358	83	09/02/75
	A2	4,122,477	10/24/78	Gallo	358	4	01/28/77
	A3	4,264,924	04/28/81	Freeman	358	86	08/13/79
	A4	4,264,925	04/28/81	Freeman et al.	358	86	08/13/79
	A5	4,507,680	03/26/85	Freeman	358	86	06/22/82
	A6	4,532,547	07/30/85	Bennett	358	148	03/31/82
	A7	4,573,072	02/25/86	Freeman	358	86	03/21/84
	A8	4,602,279	07/22/86	Freeman	358	86	03/21/84
	A9	4,792,849	12/20/88	McCalley et al.	358	86	08/04/87
	A10	4,803,553	02/07/89	Schrock et al.	358	149	01/11/88
	A11	4,811,407	03/07/89	Blokker, Jr. et al.	382	1	01/22/86
	A12	4,829,372	05/09/89	McCalley et al.	358	86	08/20/87
	A13	4,847,698	07/11/89	Freeman	358	343	07/16/87
	A14	4,847,699	07/11/89	Freeman	358	343	07/16/87
	A15	4,847,700	07/11/89	Freeman	358	343	07/16/87
	A16	4,941,040	07/10/90	Pocock et al.	358	86	02/10/88
	A17	4,959,718	09/25/90	Bennett	358	148	11/14/89
	A18	5,008,751	04/16/91	Wischermann	358	148	02/08/90
	A19	5,014,125	05/07/91	Pocock et al.	358	86	05/05/89
	A20	5,045,940	09/03/91	Peters et al.	358	143	12/22/89
	A21	5,195,092	03/16/93	Wilson et al.	370	94.2	08/30/91
	A22	5,208,665	05/04/93	McCalley et al.	358	86	02/15/91
	A23	5,347,322	09/13/94	Levine et al.	348	718	04/23/93
	A24	5,404,173	04/04/95	Parrish et al.	358	537	03/10/93

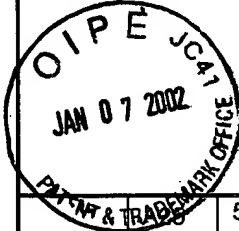
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FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: WMI-004CP2

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SERIAL NO.: 09/715,944

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		5,452,006	09/19/95	Auld	348	390	10/25/93
	A26	5,504,534	04/02/96	Sakaegi	348	513	07/17/92
	A27	5,526,024	06/11/96	Gaglianella et al.	345	185	08/12/94
	A28	5,533,021	07/02/96	Branstad et al.	370	60.1	02/03/95
	A29	5,583,561	12/10/96	Baker et al.	348	7	06/07/94
	A30	5,585,858	12/07/96	Harper et al.	348	485	08/12/94
	A31	5,590,262	12/31/96	Isadore-Barreca	395	806	11/02/93
	A32	5,600,775	02/04/97	King et al.	395	806	08/26/94
	A33	5,603,058	02/11/97	Belknap et al.	395	855	09/08/94
	A34	5,630,067	05/13/97	Kindell et al.	395	200.09	06/04/96
	A35	5,632,007	05/20/97	Freeman	395	75	09/23/94
	A36	5,640,601	06/17/97	Peters	395	854	01/22/96
	A37	5,644,354	07/01/97	Thompson et al.	348	13	10/09/92
	A38	5,655,050	08/05/97	Yamamoto et al.	386	104	06/06/96
	A39	5,689,799	11/18/97	Dougherty et al.	455	2	04/26/95
	A40	5,708,845	01/13/98	Wistendahl et al.	395	806	09/29/95
	A41	5,715,176	02/03/98	Mobini	364	514 R	01/23/96
	A42	5,724,091	03/03/98	Freeman et al.	348	13	05/18/95
	A43	5,754,250	05/19/98	Cooper	348	525	12/04/95
	A44	5,774,666	06/30/98	Portuesi	395	200.48	10/18/96
	A45	5,786,855	07/28/98	Chen et al.	348	391	10/26/95
	A46	5,805,204	09/08/98	Thompson et al.	348	13	02/20/97
	A47	5,815,634	09/29/98	Daum et al.	386	96	12/14/94
	A48	5,848,352	12/08/98	Dougherty et al.	455	5.1	04/26/95
	A49	5,861,881	01/19/99	Freeman et al.	345	302	02/08/96
	A50	5,903,816	05/11/99	Broadwin et al.	455	3.1	07/01/96
	A51	5,905,523	05/18/99	Woodfield et al.	348	12	06/28/96

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	A52	5,907,322	05/25/99	Kelly et al.	345	327	10/16/96
	A53	5,918,012	06/29/99	Astiz et al.	395	200.47	03/29/96
	A54	5,926,172	07/20/99	Hanley	345	210	09/23/97
	A55	5,930,797	07/27/99	Hill	707	101	05/15/97
	A56	5,946,318	08/31/99	Post	370	412	03/21/97
	A57	5,949,410	09/07/99	Fung	345	302	10/18/96
	A58	5,949,476	09/07/99	Pocock et al.	348	24	10/20/93
	A59	5,961,603	10/05/99	Kunkel et al.	709	229	10/22/97
	A60	5,969,715	10/19/99	Dougherty et al.	345	327	07/06/98
	A61	5,977,962	11/02/99	Chapman et al.	345	327	10/18/96
	A62	5,977,989	11/02/99	Lee et al.	345	503	09/02/97
	A63	5,990,890	11/23/99	Etheredge	345	347	08/25/97
	A64	5,991,799	11/23/99	Yen et al.	709	218	12/20/96
	A65	5,999,970	12/07/99	Krisbergh et al.	709	217	04/10/96
	A66	6,002,455	12/14/99	Enomoto et al.	348	845.2	07/07/98
	A67	6,006,241	12/21/99	Purnaveja et al.	707	512	03/14/97
	A68	6,006,256	12/21/99	Zdepski et al.	709	217	03/11/96
	A69	6,006,265	12/21/99	Rangan et al.	709	226	04/02/98
	A70	6,018,372	01/25/00	Etheredge	348	569	09/04/97
	A71	6,018,768	01/25/00	Ullman et al.	709	218	07/06/98
	A72	6,023,703	02/08/00	Hill	707	100	05/24/99
	A73	6,038,319	03/14/00	Chari	380	33	05/29/98
	A74	6,040,871	03/21/00	Andersson	348	510	12/27/96
	A75	6,049,539	04/11/00	Lee et al.	370	355	09/15/97
	A76	6,058,430	05/02/00	Kaplan	709	245	09/09/96
	A77	6,067,107	05/23/00	Travaille et al.	348	1	04/30/98
	A78	6,072,839	06/06/00	Mondal et al.	375	265	07/24/97

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FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

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A79	6,075,568	06/13/00	Matsuura	348	478	05/08/97
A80	6,118,472	09/12/00	Dureau et al.	348	6	06/05/96
A81	6,141,002	10/31/00	Kanungo et al.	345	327	11/12/96
A82	6,148,081	11/14/00	Szymanski et al.	380	33	11/20/98
A83	6,151,626	11/21/00	Tims et al.	709	219	02/06/98
A84	6,169,541 B1	01/02/01	Smith	345	327	05/28/98
A85	6,169,573 B1	01/02/01	Sampath-Kumar et al.	348	169	07/03/97
A86	6,172,674 B1	01/09/01	Etheredge	345	327	08/25/97
A87	6,181,334 B1	01/30/01	Freeman et al.	345	327	07/03/97
A88	6,204,843 B1	03/20/01	Freeman et al.	345	327	10/28/99
A89	6,204,885 B1	03/20/01	Kwoh	348	564	371/102 (e) date: 04/26/99
A90	6,205,231 B1	03/20/01	Isadore-Barreca et al.	382	103	05/10/95
A91	6,215,484 B1	04/10/01	Freeman et al.	345	327	10/28/99
A92	6,215,526 B1	04/10/01	Barton et al.	348	473	11/06/98
A93	6,223,211 B1	04/24/01	Hamilton et al.	709	203	09/09/99
A94	6,229,541 B1	05/08/01	Kamen et al.	345	357	10/13/99
A95	6,314,572 B1	11/06/01	LaRocca et al.	725	60	05/28/99
A96	Re. 34,340	08/10/93	Freeman	358	86	04/16/92

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FOREIGN PATENT DOCUMENTS

EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTR ACT ONLY	ENGLISH LANG (Y/N)
B1	0 596 823 A2	05/11/94	EP	G06F	15/72	10/05/93	N	Y
B2	0 596 823 A3	05/11/94	EP	G06F	15/72	10/05/93	N	Y
B3	0 596 823 B1	05/11/94	EP	G06F	3/023	10/05/93	N	Y



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ATTORNEY DOCKET NO.: WMI-00422

APPLICANTS: Katcher, et al.

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	B4	0 649 102 A2	04/19/95	EP	G06F	17/00	10/14/94	N	Y
	B5	0 680 213 A2	11/02/95	EP	H04N	7/173	04/19/95	N	Y
	B6	0 680 213 A3	05/08/96	EP	H04N	7/173	04/19/95	N	Y
	B7	0 649 102 A3	06/26/96	EP	G06F A63F	17/00 9/22	10/14/94	N	Y
	B8	0 752 786 A1	01/08/97	EP	H04N	7/167	06/27/96	N	Y
	B9	0 782 065 A2	07/02/97	EP	G06F	3/023	12/16/96	N	Y
	B10	0 834 798 A2	04/08/98	EP	G06F	3/033	10/07/97	N	Y
	B11	0 840 241 A1	05/06/98	EP	G06F	17/30	10/23/97	N	Y
	B12	0 841 610 A2	05/13/98	EP	G06F	3/033	11/04/97	N	Y
	B13	0 858 225 A1	08/12/98	EP	H04N	7/173	01/19/98	N	Y
	B14	0 866 614 A1	09/23/98	EP	H04N	7/16	03/13/98	N	Y
	B15	0 920 891 A1	06/09/99	EP	A63F	9/22	12/02/97	N	Y
	B16	0 928 105 A1	07/07/99	EP	H04N	5/44	9/17/97	N	Y
	B17	0 954 176 A2	11/03/99	EP	H04N	7/16	04/30/99	N	Y
	B18	0 954 179 A2	11/03/99	EP	H04N	7/173	04/30/99	N	Y
	B19	0 977 389 A2	02/02/00	EP	H04H	9/00	04/30/99	N	Y
	B20	0 680 213 B1	02/16/00	EP	H04N	7/173	04/19/95	N	Y
	B21	0 988 876 A1	03/29/00	EP	A63F	13/00	08/20/99	N	Y
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	B24	1 050 328 A1	11/08/00	EP	A63F	13/12	04/18/00	N	Y
	B25	1 052 854 A1	11/15/00	EP	H04N	7/173	05/08/00	N	Y
	B26	1 061 739 A2	12/20/00	EP	H04N	5/85	06/15/00	N	Y
	B27	1 089 279 A2	04/04/01	EP	G11B	27/10	04/18/94	N	Y
	B28	1 098 533 A2	05/09/01	EP	H04N	7/58	05/17/96	N	Y
	B29	1 098 532 A2	05/09/01	EP	H04N	7/58	05/17/96	N	Y
	B30	1 098 534 A2	05/09/01	EP	H04N	7/58	05/17/96	N	Y
	B31	97/29458	08/14/97	WO	G06T	13/00	02/07/97	N	Y



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	B32	97/33434	09/12/97	WO	H04N H04L	7/00 12/00	03/07/97	N	Y
	B33	97/37497	10/09/97	WO	H04N H04L	7/58 12/28	03/19/97	N	Y
	B34	98/10586	03/12/98	WO	H04N	5/765	09/05/97	N	Y
	B35	98/18259	04/30/98	WO	H04N H04H	7/087 7/10 1/00 1/02	10/20/97	N	Y
	B36	98/41020	09/17/98	WO	H04N	7/10 7/12	05/29/97	N	Y
	B37	98/44435	10/08/98	WO	G06F	17/30	04/02/97	N	Y
	B38	98/47084	10/22/98	WO	G06F	17/30	04/16/98	N	Y
	B39	98/43437	10/01/98	WO	H04N	7/52	03/19/98	N	Y
	B40	98/51077	11/12/98	WO	H04N G06F	7/13 13/00	05/07/98	N	Y
	B41	98/57718	12/23/98	WO	A63F	9/22	06/18/98	N	Y
	B42	99/10822	03/04/99	WO	G06F	17/30	08/21/98	N	Y
	B43	99/10871	03/04/99	WO	G09G	5/00	01/14/98	N	Y
	B44	99/23833	05/14/99	WO	H04N H04J	9/475 5/05 3/06	11/04/98	N	Y
	B45	99/23825	05/14/99	WO	H04N	7/10 7/173	11/04/98	N	Y
	B46	99/23774	05/14/99	WO	H04H	1/10	11/04/98	N	Y
	B47	99/49658	09/30/99	WO	H04N	5/445	01/27/99	N	Y
	B48	99/52283	10/14/99	WO	H04N	7/12	04/06/98	N	Y
	B49	99/52045	10/14/99	WO	G06F	17/30	03/29/99	N	Y
	B50	99/54879	10/28/99	WO	G11B	27/034	04/13/99	N	Y
	B51	00/07361	02/10/00	WO	H04N	5/00	07/27/99	N	Y
	B52	00/13414	03/09/00	WO	H04N G09C	7/08 7/24 5/00	11/25/98	N	Y (Abstract only)
	B53	00/16544	03/23/00	WO	H04N	17/00	12/16/98	N	Y

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INFORMATION DISCLOSURE STATEMENT

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ATTORNEY DOCKET NO.: WMI-004CP

APPLICANTS: Katcher, et al.

SERIAL NO.: 09/715,944

FILING DATE: November 17, 2000

GROUP: 2161

	B54	00/31972	06/02/00	WO	H04N	7/10 7/14	11/18/99	N	Y
	B55	00/39947	07/06/00	WO	H04H	1/00	12/17/99	N	Y
	B56	00/40004	07/06/00	WO	H04N	5/00	12/17/99	N	Y
	B57	00/40007	07/06/00	WO	H04N G06F	5/00 13/10	12/17/99	N	Y
	B58	00/45599	08/03/00	WO	H04N	7/24	01/28/00	N	Y
	B59	00/46680	08/10/00	WO	G06F	13/00	02/02/00	N	Y
	B60	00/64166	10/26/00	WO	H04N	7/10 7/14	04/04/00	N	Y
	B61	00/77664 A2	12/21/00	WO	G06F	17/00	06/08/00	N	Y
	B62	01/17256 A1	03/08/01	WO	H04N	7/173	08/28/00	N	Y
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	B64	01/17258 A1	03/08/01	WO	H04N	7/173	08/28/00	N	Y
	B65	01/17259 A1	03/08/01	WO	H04N	7/173	08/28/00	N	Y
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	B69	01/18678 A2	03/15/01	WO	G06F	17/00	09/07/00	N	Y
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EXAM.
INIT.

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	C1	Annex for form PCT/ISA/206, PCT International Search Report for International Application No.: PCT/US01/06411, mailed on September 12, 2001
	C2	Bove, et al., "Adding Hyperlinks to Digital Television", Pgs. 795-801, SMPTE Journal, SMPTE Inc. Scarsdale, N.Y., US, Vol. 108, No. 11, November 1999
	C3	Burill, et al., "Time-Varying Sensitive Regions in Dynamic Multimedia Objects: a Pragmatic Approach to Content-Based Retrieval From Video", pgs. 213-223, Information and software Technology 1994

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C4	Chalom, " Statistical Image Sequence Segmentation Using Multidimensional Attributes", 202 pgs., Massachusetts Institute of Technology Philosophy Thesis, January 1998	
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C6	Dakss, et al., "Hyperlinked Video", pgs. 2-10, Proceedings of the SPIE Conference on Multimedia Systems and Applications, November 1998, Boston	
C7	Ginige et al. "Hypermedia Authoring" pgs. 24-35, University of Technology, Sydney, IEEE MultiMedia, Winter 1995	
C8	Hirata, et al, "Content-Oriented Integration in Hypermedia Systems", (pg. 11-21), Washington, March 16-20, 1996, New York, ACM, US, vol. CONF. 7, 16 March 1996	
C9	IBM Technical Disclosure Bulletin, "User-Controlled Display of Hypermedia Links Via Mouse Location", Page 669, Volume 36, No. 8, August 1993	
C10	IBM Technical Disclosure Bulletin, "Visual Behavior of Multimedia Hypervideo Links for Motion Video", Page 409 , Volume 37, No. 1, January 1994	
C11	PCT International Search Report for International Application No.: PCT/US01/06351, mailed on August, 20, 2001	
C12	PCT International Search Report for International Application No.: PCT/US01/06458, mailed on August 20, 2001	
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